

Investment or Deposit Type

College

Foundation

Common Stock (U.S. and foreign stocks held in separately managed accounts or internally managed by institution investment staff; exclude mutual or commingled funds)

Equity/Stock Mutual Funds

Balanced Mutual Funds (where target allocation is > 50% equities)

"Commonfund" Equity Commingled Funds

3,040,569.22



Other Investment Pools - Short-Term (not created to function as a money market mutual fund)		
Certificates of Deposit (CD) - Nonnegotiable	1,627,175.70	
Certificates of Deposit (CD) - Negotiable		
Bank Deposits	3,385,720.69	4,476,561.31
Cash Held at State Treasury		
Cash on Hand	10,050.00	
Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)		
Other - list by type		
Total Short-Term Investments & Deposits	6,537,997.62	4,476,561.31
TOTAL INVESTMENTS and DEPOSITS	6,537,997.62	10,122,739.67

Northeast Texas Community College does employ an outside investment advisor/manager to provide the District with investment decisions. The investment advisor/manager is Ms. Lacy McMillen, Senior Vice President of Guaranty Bank & Trust, 201 S. Jefferson Ave., Mt. Pleasant, Texas 75455. The advisor does have the authority to make investment decisions for the District provided these decisions follow the policies set forth and approved by the college's Board of Trustees. Northeast Texas Community College does not use soft dollar, directed brokerage or directed commission, commission recapture, or similar arrangements as a means of paying investment services.

For inquiries regarding the investments of Northeast Texas Community College, please contact:

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